Researchers Access to Micro-data Process 1: Authorisation

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The Mission of the Research Service Unit

Research Services exists for the purpose of:

- Making micro data available for our customers' individual needs in order to enable them to obtain knowledge that is not accessible from the general statistical program
- Providing <u>advice</u> and <u>service</u> to our customers in a helpful and competent manner with regard to their use of micro data



The Work of the Danish Research Service Unit

- * Manage the remote access arrangements
- * Administrate authorizations, contracts etc.
- * Coordinate data from all divisions in Statistics Denmark
- * Deliver data to all research projects
- * Give advise to researchers new and old projects
- * Computer related work. Updates research databases



The work in Research Service can be delimited in 7 important central processes

- 1. Authorisation of institutions
- 2. Granting access for researcher
- 3. Project approval
- 4. Delivery of data
- 5. Output Check
- 6. Closure of project

7. Follow-up

Some of the main processes also includes sub processes – these are also important to address

- Examples of sub processes:
- Delivery of data
 - Receiving and storing external data
 - Calculation a price
 - Writing a contract
- Output Check
 - Procedure for data breaches



Autorization: The background Who can access microdata?

- Only researchers or analysts from specially authorized institutions (stable institutions with a responsible manager and with a number of researchers/analysts)
- Private companies can be authorized and have access if they have a stable research environment

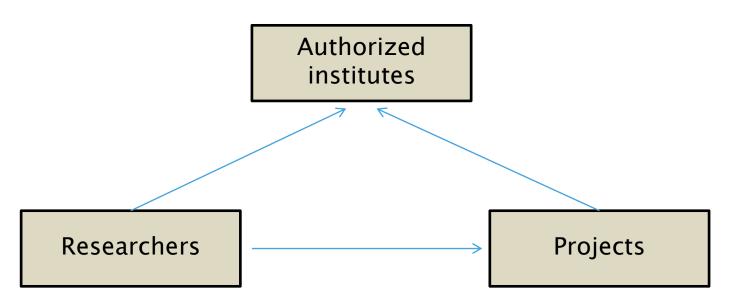


Process 1: Autorization – the model

- FSESYS: A model that links Authorized institutions with researchers and projects
- Keeps track of all our customers
- Ensures uniform procedure by the administration of institutions, projects and researchers - for example, fixed rules for researcher accesses
- Make it easier to get an overview who has access to what?



Process 1: Authorization – the model



- 1. Researcher must be attached to an authorized institution
- 2. Projects must be owned by an authorized institution
- 3. Only if 1 and 2 is met, then the researcher can have access to a project



Process 1: Authorization – step by step

- 1. A new institute wants to apply for authorisation
- 2. Criteria to be approved, how to apply, in what form an whom to address the application to can be found at our webpage
- New applications are subject to an internal evaluation including clarifying questions to the applicant by FSE-responsible person one person in FSE is responsible for the applications
- 4. If approved an Research agreement are made (Standard Form)
- 5. Submitted to approval by the Chief Statistician followed by our recommendation
- 6. If approved the agreement is signed by the chief statistician, returned to FSE and send to the institute
- 7. The agreement is returned signed by the responsible person from the institute typical the head of the department
- 8. The new institution I registered in out system FSESYS



Process 1: Authorization – step by step

People involved in the process

- From FSE 2 people
 - FSE responsible (3,5)
 - Secretary (4,7,8)
- From DST 2 people
 - Chief Statistician (6)
 - Secretary (6)



Process 1: Authorization – Discussion in groups (half hour)

- 1. How should the authorization process be organized at ICBS
- 2. What would be the most efficient process
- 3. Present your recommendations with focus on
 - 1. Organization
 - 2. Education plan needed?